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ATTORNEY DOCKET NUMBER

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

10/600,948

06/20/2003

Richard Mark Amasino

960296.96871

Nicholas J. Seav P O Box 2113 Madison, WI 53701-2113



**CONFIRMATION NO. 9478 FORMALITIES LETTER** \*OC000000011177938\*

Date Mailed: 11/04/2003

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE **DISCLOSURES**

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

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### Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)

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Light of the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete if Known

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	420	.00
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Complete if Known					
Application Number	10/600,948				
Filing Date	06/20/2003	-			
First Named Inventor	Richard Mark Amasino				
Examiner Name					
Art Unit					
Attorney Docket No.	960296.96871				

METHOD OF PAYMENT (check all that apply)			'FEE CALCULATION (continued)						
Check Credit card Money Other None		3. ADDITIONAL FEES							
Deposit Account:		Large I	Large Entity   Small Entity						
Deposit				Fee Code			Fee (\$)	Fee Description	For Dold
Account Number	17-0055			1051	130	2051	• • •	Surcharge - late filing fee or oath	Fee Paid
Deposit	Quartes 8	Brady I I B		1052	50	2052	25	Surcharge - late provisional filing fee or	
Account Name Quarles & Brady LLP							cover sheet		
The Director is authorized to: (check all that apply)			1053	130	1053		Non-English specification  For filing a request for ex parte reexamination		
Charge fee(s) indicated below Credit any overpayments			1812		1812	•	·	<b>'</b>	
Charge an	ny additional fee(s	s) or any underpayment	of fee(s)	1804	920*	1804	920-	Requesting publication of SIR prior to Examiner action	
il "	• •	ow, except for the filir	ng fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-ide	entified deposit ac			1251	110	2251	55	Extension for reply within first month	
4 54010 511		LCULATION		1252	420	2252	210	Extension for reply within second month	420
1. BASIC FII Large Entity Se				1253	950	2253	475	Extension for reply within third month	
Fee Fee F	<u>Fee Fee Fe</u>	e Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
` '	Code (\$) 2001 385	Utility filing fee		1255	2,010	2255		Extension for reply within fifth month	
		Design filing fee		1401	330	2401	165	Notice of Appeal	<u> </u>
		Plant filing fee	$\vdash$	1402	330	2402		Filing a brief in support of an appeal	
		Reissue filing fee		1403	290	2403		Request for oral hearing	
		Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
			00	1452	110	2452	55	Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 0.00			1453	1,330	2453	665	Petition to revive - unintentional		
2. EXTRA C	LAIM FEES	FOR UTILITY ANI		1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid		<u> Fee Paid</u>	1502	480	2502	240	Design issue fee		
Total Claims Independent	-20* =	× <u> </u>	0.00	1503	640	2503	320	Plant issue fee	
Claims	-3** =	:	= 0.00	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent		1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)			
Large Entity   Fee Fee	Small Entity Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)			8021	40	802 <sup>-</sup>	1 40	Recording each patent assignment per property (times number of properties)	
1202 18	2202 9	Claims in excess of 20		1809	770	2809	385	Filing a submission after final rejection	
1201 86 1203 290	2201 43 2203 145	Independent claims in Multiple dependent cla		4040	770	,,,,	205	(37 CFR 1.129(a))	
1203 290		** Reissue independen		1810	770	2810	J 385	For each additional invention to be examined (37 CFR 1.129(b))	
1204 86 2204 43 ** Reissue independent clai over original patent		it olding	1801	770	2801	385	Request for Continued Examination (RCE)		
1205 18	2205 9	** Reissue claims in ex and over original pat		1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0.00			Other fee (specify)						
**or number		oraL (2) <u>(⊅)</u> if greater; For Reissues		*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 420	.00

(Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) Sara D. Vinarov 48,524 Telephone 608/251-5000 February /o . 2004 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Date of Signature and Deposit: February 10, 2004



Date: February 10, 2004

PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard Mark Amasino

Fritz Michael Schomburg Scott Daniel Michaels

Si-Bum Sung Katia Scortecci

Serial No.: 10/600,948 Group Art Unit:

Filed: 06/20/2003 Examiner:

For: ALTERATION OF FLOWERING Docket No.: 960296.96871

TIME IN PLANTS

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES UNDER 37 C.F.R. 1.821(e)

MAIL STOP MISSING PARTS Commissioner for Patents Customer Service Center Initial Patent Examination Division P O Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

In a Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed on November 04, 2003 in the above-identified application, the applicants are notified that a computer readable form of the sequence listing was not submitted to the US Patent and Trademark Office. The applicants are invited to submit a computer readable form of the sequence listing and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written sequence listing.

In response, applicants submit that a paper copy of the "Sequence Listing" was submitted on June 20, 2003 with the filing of the present application. The present application

is a divisional of Application Number 09/513,775, filed February 25, 2000. Applicants submit that the paper copy of the "Sequence Listing" submitted on June 20, 2003 in the present divisional application is identical in content to the computer readable form submitted along with a Response to a "Notice to Comply" on April 25, 2001 in the parent application and currently on file with the United States Patent and Trademark Office. A copy of the Response confirming our submission is enclosed herewith. Applicants note that the computer readable form submitted on April 25, 2001 in the parent application was compliant with all of the requirements under 37 C.F.R. 1.821(e). No new matter has been added by this submission. Therefore, in lieu of filing a duplicate computer readable form in the present application, applicants request the use of the compliant computer readable "Sequence Listing" that is already on file for the parent application identified above.

No fee is believed to be due in connection with this substitution. However, if any fee is due, please charge the fee to the Deposit Account 17-0055.

A Petition for Extension of Time for two months is submitted herewith so that this response will be considered as timely filed. Please charge the extension fee due to Deposit Account No. 17-0055.

Respectfully submitted,

Sara D. Vinarov

Reg. No. 48,524

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